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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re Application of:** Richard Falk

**Docket No.:** EFIM0289

**Serial No. :** Unassigned

**Art Unit:** Unassigned

**Filed:** Herewith

**Examiner:** Unassigned

**Title:** SPOT COLOR PATTERN SYSTEM

### INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or  
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ( ) under 37 CFR 1.97(c) together with either a:
  - ( ) Certification under 37 CFR 1.97(e), or
  - ( ) a \$220.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97(d) together with a:
  - ( ) Certification under 37 CFR 1.97(e), and
  - ( ) a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and
  - ( ) a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

( X ) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. EFIM0289). A copy of this sheet is enclosed for accounting purposes.

(X) Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,



Michael Glenn  
Attorney For Applicant  
Reg. No. 30,176

Customer No. 22862

<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty. Docket No. EFIM0289	Serial No.: Unassigned
	Applicant: Falk	Group: Unassigned
	Filing Date: Herewith	

15979 U.S. PRO  
09/991036  
11/20/01

#### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A	4,398,890	8/16/1983	Knowlton	434	96	3/16/1981
	B	4,998,144	3/5/1991	Karn	355	326	12/26/1989
	C	5,222,154	6/22/1993	Graham et al.	382	18	6/12/1991
	D	5,557,393	9/17/1996	Goodman et al.	355	326	11/4/1994
	E	5,751,829	5/12/1998	Ringland et al.	382	100	8/18/1994
	F	5,786,823	7/28/1998	Madden et al.	345	431	9/29/1995
	G	5,897,239	4/27/1999	Caruthers et al.	399	54	3/31/1997
	H	5,909,220	6/1/1999	Sandow	345	431	12/4/1995
	I	6,002,893	12/14/1999	Caruthers, Jr.	399	54	11/12/1998
	J	6,112,665	9/5/2000	Teter et al.	101	483	3/4/1999
	K	6,122,391	9/19/2000	Ringland et al.	382	100	11/7/1997
	L	6,176,427	1/23/2001	Antognini et al.	235	454	8/23/1999
	M	6,098,882	8/8/2000	Antognini et al.	235	454	3/1/1996

#### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	N	0522702	13/01/1999	EPO	7	40		X
	O	0562971	10/03/93	EPO	17	04		X
	P	0601787	01/12/1993	EPO	13	01		X
	Q	0606142	04/01/1994	EPO	15	01		X
	R	0833220	19/09/1997	EPO	15	10		X
	S	0837401	17/09/1997	EPO	17	24		X
	T	0964310	15/12/1999	EPO	15	01		X

#### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	U	George Alexander, David Baron, Laurel Brunner, John F. Butler, Craig E. Cline, Peter E. Dyson, Stephen E. Edwards, and Caren Elizer; <u>Color Management, input, Systems, Software, Output: Seybold Seminars 1993, part 1; Seybold Report on Publishing Systems; May 19, 1993</u>
	V	Steve McKinstry; <u>The Pantone Touch</u> ; MacUser; May 1990
	W	Nicholas H. Allison; <u>A Brief Glossary of Color: for the New Desktop-Color Publisher, a Glance at some important Terms</u> ; Aldus Magazine; November-December 1990.

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.